Lecture 6: Simple Real-Space RG Transformations

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In this lecture, we see

- The fixed point of the RG transformation is important in understanding our world.
- Real-space renormalization group transformation is generally impossible to carry out in dimension higher than 1. Therefore, it requires some approximation.
- A decimation-based RG can be approximately done by a method proposed by Migdal and Kadanoff.
- Generally, the fixed point of RG transformation (RGT) represents the critical point.
- MKRG produces a non-trivial evaluates of critical exponents.
- However, they do not generally agree with the correct values, and it is not obvious how to systematically improve the approximation.



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RG is trickier for $d>1\,$

• Consider, for example, coarse-graining by decimation.

$$ilde{S}_{m{x}}\equiv S_{m{x}}$$
 for $m{x}\in \Omega'\equiv\{(2ma,2na)|m,n=0,1,2,\cdots,L/2\}$

• The partial trace can be taken (at least formally) as

$$e^{-\tilde{\mathcal{H}}_{2a}(\tilde{\boldsymbol{S}},\tilde{K})} \equiv \Pr_{\{S_{\boldsymbol{x}}\}_{\boldsymbol{x}\in\Omega\backslash\Omega'}} e^{-\mathcal{H}_{a}(\boldsymbol{S},K)}$$

- There are paths that connect two remaining spins, say S_r and $S_{r'}$ $(r, r' \in \Omega')$, through $\Omega \setminus \Omega'$. Tracing out the spins along the path give rise to the long-range interaction between S_r and $S_{r'}$.
- There are n-body (n > 2) interactions among the remaining spins, because, for example, $\sum_{S_0} (1 + tS_0S_1)(1 + tS_0S_2)(1 + tS_0S_3)$ $(1 + tS_0S_4)$ contains the term like $t^4S_1S_2S_3S_4$.
- As a result, unlike the 1D case, the renormalized Hamiltonian is too complicated to deal with.

d>1 needs approximation

In 1D, the RG map transforms the simple Hamiltonian with only 2 parameters, the magnetic field H and the nearest neighbor interaction K, $\mathcal{H} \equiv -H \sum_{i} S_{i} - K \sum_{(ij)} S_{i} S_{j}$ into

$$\mathcal{H}' \equiv -H' \sum_{i} S'_{i} - K' \sum_{(ij)} S'_{i} S'_{j},$$

the exact same form with modified coupling constants K' and H'.

However, in 2D, the original simple Hamiltonian would be transformed into

$$\mathcal{H}' = -\sum_i H'S'_i - \sum_{ij} K'_{ij}S'_iS'_j - \sum_{ijk} K''_{ijk}S'_iS'_jS'_k - \cdots$$

We can carry out the RG mapping only approximately.

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Migdal-Kadanoff RG for 2D Ising model



- Bunch up two vertical lines.
- 2 Partial trace of spins (×) on horizontal bonds. (1): $th \tilde{K} = th^2 K$)
- **③** Bunch up two horizontal lines. (2): $K' = 2\tilde{K}$)
- **④** Partial trace of intermediate spins (\times) on vertical bonds.
- Trivial re-scaling (just replace r' by r'/b and do nothing on K').

simple Migdal-Kadanoff

$$t' = \operatorname{th}(2\operatorname{ath}(t^2))\left(=\frac{2t^2}{1+t^4}\right) \quad (t \equiv \operatorname{th} K, \ t' \equiv \operatorname{th} K')$$

Effect of RGT on correlation function

• Consider a RG transformation with scaling factor b

 $t' = R_b(t),$

e.g., $R_2(t) = th(2 \operatorname{ath}(t^2))$ for MKRG.

 Obviously, the correlation length of the renormalized system ξ' should be equal to ξ/b. At the same time, ξ and ξ' are the values of the same function at different arguments, i.e., ξ = ξ(t) and ξ' = ξ(t'). Therefore, the correlation as a function of the coupling constant must satisfy

$$\xi(t') = b^{-1}\xi(t).$$
(1)



RG fixed point and $y_t = 1/\nu$

- The RG fixed-point t_c is defined by $t_c = R_b(t_c)$.
- The RGT amplifies the 'deviation' from the fixed-point as

$$\delta t \to \delta t' = t' - t_c = R_b(t_c + \delta t) - t_c \approx R'_b(t_c)\delta t$$

- Therefore, (1) means $\xi(t_c + R'_b \delta t) \approx b^{-1} \xi(t_c + \delta t)$.
- Since the exponent ν is defined by $\xi \propto (\delta t)^{-\nu}$,

$$(R'_b \delta t)^{-\nu} = b^{-1} (\delta t)^{-\nu} \longrightarrow R'_b{}^{-\nu} = b^{-1}$$

$$\rightarrow \quad y_t \equiv \frac{1}{\nu} = \frac{\log R'_b(t_c)}{\log b}$$
(2)

Derivatives of RG transformation are critical exponents.

RG fixed point and $y_t = 1/\nu$ (numerical estimates)

• For the Migdal-Kadanoff RGT for 2D Ising model, we have

$$t_c = R_2(t_c) = \frac{2t_c^2}{1 + t_c^4} \to t_c = 0.54368\cdots$$

(cf: $t_c^{\text{exact}} = \sqrt{2} - 1 = 0.4142\cdots$)

• With some arithmetic, we can get

$$\begin{aligned} R_2'(t_c) &= \frac{2(1-t_c)}{t_c} \approx 1.676\\ &\to y_t \equiv 1/\nu \approx \log 1.676/\log 2 \approx 0.747\\ (\text{cf: } y_t^{\text{exact}} = 1, \ y_t^{\text{mean field}} = 2) \end{aligned}$$

Not bad, but ad-hoc (not obvious how to improve).

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Infinitesimal MKRG

• The MKRG mapping with the scaling factor b can be summarized as

$$t' = R_2(t) \equiv \operatorname{th}(2\operatorname{ath}(t^2)).$$

• This can be generalized formally to general integer b > 1 as

$$t' = R_b(t) \equiv \operatorname{th}(b\operatorname{ath}(t^b)).$$

Although the corresponding operation cannot be defined for non-integer b, let us assume that it is still meaningful.

 After all, "bunching-up" two lines to one by one step might be too crude. It may become less harmful if we bunch-up as small number of lines as possible, i.e., taking b = 1 + λ where 0 < λ ≪ 1

Does this infinitesimal RG still yield sensible results?

Infinitesimal RG (general argument)

- In general, suppose some RG transformation $t' = R_b(t)$ with continuous scaling factor $b = 1 + \lambda$.
- Using the notation $\dot{f} \equiv \partial f / \partial b$, the critical point is determined by

$$t_c = R_{1+\lambda}(t_c) = R_1(t_c) + \lambda \dot{R}_1(t_c) \Rightarrow \dot{R}_1(t_c) = 0.$$

 $(\dot{R}_1(t) ext{ is called "beta function" and the symbol } eta(t) ext{ is often used.)}$

• Using $R_1(t) = t$ (therefore $R'_1(t) = 1$, and $R''_1(t) = 0$), in the lowest order in λ , the scaling dimension y_t can be obtained by

$$y_t(1+\lambda) = \frac{\log\left(R'_{1+\lambda}(t_c(1+\lambda))\right)}{\log(1+\lambda)} \quad \text{(See Eq.(2))}$$
$$\approx \frac{1}{\lambda}\log\left(R'_1(t_c(1)) + R''_1(t_c(1))\Delta t_c + \dot{R}'_1(t_c(1))\lambda\right) \approx \dot{R}'_1(t_c(1)).$$

 $\dot{R}_1(t_c) = 0$ and $y_t = \dot{R}_1'(t_c)$



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Infinitesimal MKRG (numerical estimates)

• For $b = 1 + \lambda$ $(\lambda \ll 1)$, defining $t \equiv \operatorname{th} K$, we obtain

$$t' = R_b(t) = \operatorname{th}(b \operatorname{ath} t^b) \approx t + \lambda \dot{R}_1(t)$$
$$\dot{R}_1(t) \equiv \left. \frac{\partial R_b(t)}{\partial b} \right|_{b \to 1} = (1 - t^2) \operatorname{ath} t + t \log t$$

• The critical point $t = t_c$ is determined by $\dot{R}_1(t_c) = 0$, which yields

$$t_c = \sqrt{2} - 1$$
 (Exactly agrees with the correct value!)

• As for y_t , we have

$$y_t = \dot{R}'_1(t_c) = 2 + \sqrt{2}\log(\sqrt{2} - 1) = 0.753549\cdots,$$

slightly closer to $y_t^{\text{exact}} = 1$ than the simple MKRG with b = 2.

Better, but still ad-hoc (not obvious how to further improve).

General renormalization group (RG) transformation



- In the derivation of the Ginzburg criterion, we introduced the coarse-graining transformation as a Gedankenexperiment.
- The RG transformation consists of two steps: (i) coarse-graining and (ii) rescaling. Schematically,

$$\mathcal{H}_a(S \mid \boldsymbol{K}, L) \xrightarrow{(i)} \mathcal{H}_{ab}(\tilde{S} \mid \tilde{\boldsymbol{K}}, L) \xrightarrow{(ii)} \mathcal{H}_a(S' \mid \boldsymbol{K}', b^{-1}L)$$



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General Renormalization Group Transformation



- In the coarse-graining step, we define coarse-grained field and carry out the configuration-space summation of the partition function, with the constraint imposed by the coarse-grained fields.
- In the rescaling step, we redefine the length-scale and the field variables by multiplying them with scaling factors so that the effective Hamiltonian may be the same form as the original one.

Critical point is scale-invariant



"https://youtu.be/fi-g2ET97W8" by Douglas Ashton



Coarse-graining flow



 $``https://youtu.be/MxRddFrEnPc'' \ by \ Douglas \ Ashton$

"Critical point" = "Fixed point of RG transformation"

Exercise 6.1: Try the idea of MKRG (i.e., bunching up and trace over intermediate spins) on the Ising model in higher dimensions (d > 2).

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