

# Lecture 12: $\epsilon$ -expansion and Wilson-Fisher fixed point

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July 1, 2024

In this lecture, we see ...

- By applying the perturbative RG to GFP, we will find a new fixed point near the GFP. (Wilson-Fisher fixed point (WFFP))
- By replacing the GFP and the WFFP by their multi-component counterparts, we can obtain the  $\epsilon$ -expansion of the universality classes of the  $XY$  model ( $n = 2$ ) and of the Heisenberg model ( $n = 3$ ).

## Wilson-Fisher fixed point

- By inspecting the RG flow equation around GFP, we can obtain an  $\epsilon (\equiv 4 - d)$  dependent fixed point and its scaling properties to the first order in  $\epsilon$  ( $\epsilon$ -expansion).
- From this result one can obtain the lowest order approximation to the Wilson-Fisher fixed point, the fixed point that governs the Ising universality class in dimensions  $2 < d < 4$ .

## RG flow equation around GFP

- Now, we are ready to actually compute the RG flow around the GFP searching for a new fixed point for the  $\phi^4$  model.
- Our tool is the RG flow equation around a fixed point.

$$\frac{dg_n}{d\lambda} = y_n g_n - \sum_{lm} c_{lm}^n g_l g_m + O(g^3) \quad (\lambda \equiv \log b) \quad (1)$$

- For the GFP, we already know

$$\begin{aligned} \phi_n &\equiv [\phi^n], \quad y_n = d - x_n, \quad x_n = nx = \frac{n}{2}(d - 2) \\ c_{lm}^n &\equiv \binom{l}{k} \binom{m}{k} k! \quad \left( k \equiv \frac{l + m - n}{2} \right) \end{aligned} \quad (2)$$

## The $Z_2$ symmetry

- Let us focus on the relevant fields at the GFP:

$$h \equiv g_1, \quad t \equiv g_2, \quad v \equiv g_3, \quad u \equiv g_4$$

- Note that (1) and (2) ensures that when we start with even fields only, odd fields are not generated by the RGT.
- In addition, we know that the critical point of the Ising model possesses the symmetry with respect to  $S \leftrightarrow -S$ .
- Therefore, we expect that the fixed point representing the Ising criticality should be found in the “even parity” manifold, i.e.,  $g_{2n+1} = 0$  ( $h = v = 0$ ).

## $\epsilon$ -expansion

- In terms of the remaining fields,  $t$  and  $u$ , the flow equations are

$$\frac{dt}{d\lambda} = y_t t - c_{tt}^t t^2 - 2c_{tu}^t t u - c_{uu}^t u^2 + O(g^3) \quad (3)$$

$$\frac{du}{d\lambda} = y_u u - c_{tt}^u t^2 - 2c_{tu}^u t u - c_{uu}^u u^2 + O(g^3) \quad (4)$$

with  $y_t = 2$  and  $y_u = 4 - d \equiv \epsilon$ .

- Hereafter, we regard  $\epsilon$  as a small quantity.
- Let  $(t^*, u^*)$  be the non-trivial solution to the fixed-point equation, i.e., they are not zero and make the RHSs of (3) and (4) zero.
- By considering the order in  $\epsilon$ , we see  $t^* = O(\epsilon^2)$  and  $u^* = O(\epsilon)$ .  
( $\because$  By perturbation assumption, both  $u^*$  and  $t^*$  are small. Then, in (3), the only term that can possibly be the same order as  $t$  is  $u^2$ . Therefore,  $t^* \sim u^{*2}$ . With this in mind, inspecting (4) we see that  $\epsilon u$  must be comparable to  $u^2$ , so  $u^* \sim O(\epsilon)$ .)

## General prescription with RG flow equation

Generally, the RG flow equation such as (3) and (4) takes the form  $d\mathbf{g}/d\lambda = \mathbf{f}(\mathbf{g})$  where  $\mathbf{f}(\cdot)$  is a function that maps  $p$  dimensional vector to another  $p$  dimensional vector. Once we obtain such a set of RG flow equations, we obtain the fixed point and its scaling properties as follows.

- 1 Find the solution to  $\mathbf{f}(\mathbf{g}^*) = 0$ . Then,  $\mathcal{H}_{g^*}$  is the fixed point Hamiltonian.
- 2 Linearize the RG flow equation around  $\mathbf{g}^*$ . Specifically,  $d\Delta\mathbf{g}/d\lambda = Y\Delta\mathbf{g}$ , where  $\Delta\mathbf{g} \equiv \mathbf{g} - \mathbf{g}^*$  and  $Y_{\mu\nu} \equiv df_{\mu}/dg_{\nu}|_{\mathbf{g}=\mathbf{g}^*}$  is the gradient matrix.
- 3 Diagonalize  $Y$  as  $Y = P^{-1}\hat{Y}P$  with  $\hat{Y}$  being a diagonal matrix whose  $\mu$ th diagonal element is  $y_{\mu}$ .
- 4 Then, for the new set of parameters defined as  $\mathbf{u} \equiv P\Delta\mathbf{g}$ , we have  $du_{\mu}/d\lambda = y_{\mu}u_{\mu}$ , which means that  $u_{\mu}$  is the new scaling field and  $y_{\mu}$  is the corresponding scaling eigenvalue. (Accordingly, the new scaling operators can be defined as  $\psi \equiv (P^T)^{-1}\phi$ .)

## Wilson-Fisher fixed point

- Now, keeping only the terms that can make difference in scaling dimensions in the  $O(\epsilon)$  order, we obtain

$$\frac{dt}{d\lambda} = 2t - 96u^2 - 24tu \quad (\equiv A) \quad (5)$$

$$\frac{du}{d\lambda} = \epsilon u - 72u^2 - 16tu \quad (\equiv B) \quad (6)$$

$$\left( c_{uu}^t = \binom{4}{3} \binom{4}{3} 3! = 96, \quad c_{uu}^u = \binom{4}{2} \binom{4}{2} 2! = 72, \quad \text{etc.} \right)$$

- Then, the fixed point is

$$(t^*, u^*) = \left( \frac{\epsilon^2}{108}, \frac{\epsilon}{72} \right) \quad (7)$$

- We regard this as the lowest order approximation to the Wilson-Fisher fixed point (WFFP).

## Linearization around the WFFP

- Following the general prescription, let us define  $\Delta u \equiv u - u^*$ ,  $\Delta t \equiv t - t^*$  and recast (5) and (6) in the form

$$\frac{d}{d\lambda} \begin{pmatrix} \Delta t \\ \Delta u \end{pmatrix} = Y \begin{pmatrix} \Delta t \\ \Delta u \end{pmatrix}.$$

- The matrix  $Y$  can be obtained as

$$\begin{aligned} Y &\equiv \begin{pmatrix} \frac{\partial A}{\partial t} & \frac{\partial A}{\partial u} \\ \frac{\partial B}{\partial t} & \frac{\partial B}{\partial u} \end{pmatrix}_{\Delta t = \Delta u = 0} = \begin{pmatrix} 2 - 24u^* & -192u^* \\ -16u^* & \epsilon - 144u^* \end{pmatrix} \\ &= \begin{pmatrix} 2 - \frac{1}{3}\epsilon & -\frac{8}{3}\epsilon \\ -\frac{2}{9}\epsilon & -\epsilon \end{pmatrix}. \end{aligned}$$

## Scaling properties of the WFFP

- Thus, the linearized RG flow equation around the new fixed point is

$$\frac{d}{d\lambda} \begin{pmatrix} \Delta t \\ \Delta u \end{pmatrix} = \begin{pmatrix} 2 - \frac{1}{3}\epsilon & -\frac{8}{3}\epsilon \\ -\frac{2}{9}\epsilon & -\epsilon \end{pmatrix} \begin{pmatrix} \Delta t \\ \Delta u \end{pmatrix}.$$

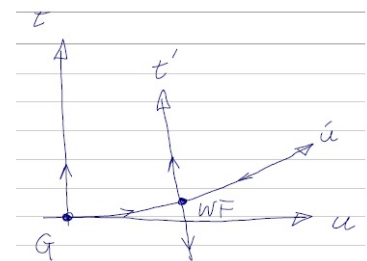
- Since the off-diagonal elements do not contribute to the eigenvalues to  $O(\epsilon)$ ,

$$y_u^{\text{WF}} = -\epsilon \quad \text{and} \quad y_t^{\text{WF}} = 2 - \frac{\epsilon}{3}$$

- The “ $t$ -like” scaling field  $t'$  is relevant.

$$y_t^{3\text{DWF}} \approx 1.666 \dots \quad \left( y_t^{3\text{DIsing}} \approx 1.588(1)^* \right),$$

$$y_t^{2\text{DWF}} \approx 1.333 \dots \quad \left( y_t^{2\text{DIsing}} = 1 \right)$$



\* M. Hasenbusch, K. Pinn, and S. Vinti: PRB 59, 11471 (1999)

## Scaling eigenvalue of $h$ at WFFP

- The RG flow equation for  $h$ , which has been neglected so far, is

$$\begin{aligned} \frac{dh}{d\lambda} &= y_h h - 2c_{th}^h t h + (u^2 h\text{-term}) = \frac{d+2}{2} h - 4t h + \dots \\ &\approx \left( \frac{d+2}{2} - 4t^* + \dots \right) h \end{aligned}$$

- Therefore,  $y_h^{\text{WF}} = \frac{d+2}{2} + O(\epsilon^2)$ . Specifically,

$$y_h^{3\text{D WF}} \approx 2.5 \quad \text{and} \quad y_h^{2\text{D WF}} \approx 2.0.$$

The good agreements with

$$y^{3\text{D Ising}} = 2.4817(4)^* \quad \text{and} \quad y^{2\text{D Ising}} = 1.875 \text{ (exact).}$$

indicate the validity of the  $\epsilon$ -expansion as well as the equivalence between the WFFPs and the Ising FPs.

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## Irrelevancy of other operators

- Even if a field is irrelevant at the GFP, it may turn relevant at the WFFP. In such a case, the WFFP may not be the controlling FP. So, it is important to check whether there is no such fields.

- The RG flow equation for  $g_n$  around the GFP is

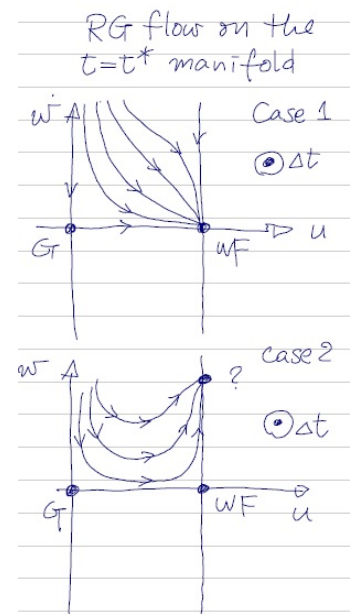
$$\frac{dg_n}{d\lambda} = \left( d - \frac{n}{2}(d-2) \right) g_n - 12n(n-1)u g_n,$$

- Remembering that  $u^* = \epsilon/72$ ,

$$y_n^{\text{WF}} = \left( d - \frac{n}{2}(d-2) \right) - 12n(n-1) \frac{4-d}{72}$$

- For  $n \geq 6$ ,  $y_n^{\text{WF}}$  are negative:

$$y_n^{3\text{D WDF}} = \frac{18 - 2n - n^2}{6}, \quad y_n^{2\text{D WDF}} = \frac{6 + n - n^2}{3}.$$



## $O(n)$ models

- To apply the perturbative RG to the XY ( $O(2)$ ) and the Heisenberg ( $O(3)$ ) models we will introduce the multi-component  $\phi^4$  model.
- We can then construct the RG flow equation as before.

## Multi-component $\phi^4$ model

- Let us apply the perturbative RG to the XY ( $O(2)$ ) or the Heisenberg ( $O(3)$ ) models.
- To follow the same line of argument as before, we need something analogous to the  $\phi^4$  model to start with.
- So, let us consider multi-component field

$$\phi(\mathbf{x}) \equiv (\phi_1(\mathbf{x}), \phi_2(\mathbf{x}), \dots, \phi_n(\mathbf{x}))^T$$

and the multi-component  $\phi^4$  model:

$$\mathcal{H} \equiv \int d\mathbf{x} (|\nabla\phi|^2 + t\phi^2 + u(\phi^2)^2 - h\phi^1)$$

- If  $t = u = h = 0$ , the  $n$ -components are independent and each represents a Gaussian fixed point. Therefore, it is a fixed point for the new Hamiltonian. (We call this fixed point the GFP, too.)

## Correlation functions

- To get familiarized with the new model, let us consider  $\langle \phi^2(\mathbf{x})\phi^2(\mathbf{y}) \rangle_{\text{GFP}}$ .
- Since we can use Wick's theorem for the multi-component GFP,

$$\begin{aligned}
 \langle \phi^2(\mathbf{x})\phi^2(\mathbf{y}) \rangle &= \langle \phi_\alpha(\mathbf{x})\phi_\alpha(\mathbf{x})\phi_\beta(\mathbf{y})\phi_\beta(\mathbf{y}) \rangle \quad (\text{Einstein's convention}) \\
 &= \langle \phi_\alpha(\mathbf{x})\phi_\alpha(\mathbf{x}) \rangle \langle \phi_\beta(\mathbf{y})\phi_\beta(\mathbf{y}) \rangle \\
 &\quad + 2\langle \phi_\alpha(\mathbf{x})\phi_\beta(\mathbf{y}) \rangle \langle \phi_\alpha(\mathbf{x})\phi_\beta(\mathbf{y}) \rangle \\
 &= n^2 G^2(0) + 2nG^2(r)
 \end{aligned}$$

where  $r \equiv |\mathbf{x} - \mathbf{y}|$  and  $G(r) \equiv \langle \phi_1(\mathbf{x})\phi_1(\mathbf{y}) \rangle \approx r^{-2x}$  with  $x = (d - 2)/2$  as usual.

## Diagrammatic representation

- We have seen that

$$\langle \phi^2(\mathbf{x})\phi^2(\mathbf{y}) \rangle = n^2 G^2(0) + 2nG^2(r)$$

- Compared with the previous case of  $n = 1$ , the difference is the factors  $n^2$  and  $n$ .
- For a given pattern of Wick paring, draw the diagram like the one in the right with the correspondence:

$$\begin{aligned}
 &\langle \phi^\alpha(\mathbf{x})\phi^\alpha(\mathbf{x})\phi^\beta(\mathbf{y})\phi^\beta(\mathbf{y}) \rangle \\
 &= \begin{array}{c} \text{diagram 1} \\ \text{diagram 2} \\ \text{diagram 3} \end{array} \quad \begin{array}{l} n^2 G^2(0) \\ n G^2(r) \\ n G^2(r) \end{array}
 \end{aligned}$$

$$\begin{aligned}
 \text{wavy lines} &\leftrightarrow \left( \begin{array}{l} \text{repeated indices in} \\ \text{Einstein convention} \end{array} \right) \\
 \text{regular lines} &\leftrightarrow \left( \text{Wick paring} \right)
 \end{aligned}$$

- To a diagram with  $g$  disconnected components, we assign the factor  $n^g$ .



## Scaling operator $\varphi_t$ (previously $\varphi_2$ )

- As before, we can define the normal-order product,  $[[\cdot \cdot \cdot]]$ , as the operator that we obtain after removing all contributions from the diagrams with inner connections.

$$\phi^2 = \varphi_t + n G(0)$$

- For example,

$$\varphi_t \equiv [[\phi^2]] = \phi^2 - n G^2(0)$$

- For the correlator of two  $\phi_2$ s, we have

$$\langle \varphi_t(\mathbf{x}) \varphi_t(\mathbf{y}) \rangle = 2n G^2(r)$$

(See the diagram on the right.)

$$\langle \varphi_t(\mathbf{x}) \varphi_t(\mathbf{y}) \rangle$$

$$= \text{Diagram 1}$$

$$+ \text{Diagram 2}$$

$$= 2n G^2(r)$$

## Scaling operator $\varphi_u$ (previously $\varphi_4$ )

- Similarly, we define  $\phi_4$  as

$$\varphi_u(\mathbf{x}) \equiv [(\phi^2(\mathbf{x}))^2]$$

- Then, the correlator becomes

$$\begin{aligned} \langle \varphi_u(\mathbf{x}) \varphi_u(\mathbf{y}) \rangle &= (\text{Two-loop terms}) \\ &\quad + (\text{One-loop terms}) \\ &= 8n^2 G^4(r) + 16n G^4(r) \\ &= (8n^2 + 16n) G^4(r) \end{aligned}$$

$$\langle \varphi_u(\mathbf{x}) \varphi_u(\mathbf{y}) \rangle$$

$$= 8 \times \text{Diagram 1} + \dots$$

$$+ 16 \times \text{Diagram 2} + \dots$$

$$= (8n^2 + 16n) G^4(r) + \dots$$

## $c_{tt}^u, c_{tt}^t, c_{tu}^u, c_{tu}^t$ for $O(n)$ GFP

- First, let us expand  $\varphi_t(\mathbf{x})\varphi_t(\mathbf{y})$ .

$$\begin{aligned}\varphi_t(\mathbf{x})\varphi_t(\mathbf{y}) \\ \approx \varphi_u(\mathbf{x}) + 4G(r)\varphi_t(\mathbf{x}) + \dots\end{aligned}$$

Thus, we obtain  $c_{tt}^u = 1$  and  $c_{tt}^t = 4$ .

- For  $\varphi_t(\mathbf{x})\varphi_u(\mathbf{y})$ , we obtain

$$\begin{aligned}\varphi_t(\mathbf{x})\varphi_u(\mathbf{y}) \\ = \varphi_6(\mathbf{x}) + 8G(r)\varphi_u(\mathbf{x}) \\ + 4nG^2(r)\varphi_t(\mathbf{x}) + 8G^2(r)\varphi_t(\mathbf{x}) \\ = \varphi_6 + 8G\varphi_u + (4n + 8)G^2\varphi_t + \dots\end{aligned}$$

We obtain  $c_{tu}^u = 8$  and  $c_{tu}^t = 4(n + 2)$ .

$$\begin{aligned}\varphi_t(\mathbf{x}) \cdot \varphi_t(\mathbf{y}) \\ = \begin{array}{c} \text{diagram 1} \\ \text{diagram 2} \end{array} + 4 \begin{array}{c} \text{diagram 3} \\ \text{diagram 4} \end{array} \\ + 2 \begin{array}{c} \text{diagram 5} \\ \text{diagram 6} \end{array}\end{aligned}$$

$$\begin{aligned}\varphi_t(\mathbf{x}) \cdot \varphi_u(\mathbf{y}) \\ = \begin{array}{c} \text{diagram 7} \\ \text{diagram 8} \end{array} + 8 \begin{array}{c} \text{diagram 9} \\ \text{diagram 10} \end{array} \\ + 4 \begin{array}{c} \text{diagram 11} \\ \text{diagram 12} \end{array} + 8 \begin{array}{c} \text{diagram 13} \\ \text{diagram 14} \end{array}\end{aligned}$$

## Wilson-Fisher FP for $O(n)$ GFP

- The RG flow equation is

$$\begin{cases} \frac{dt}{d\lambda} = 2t - 32(n+2)u^2 - 8(n+2)tu & \equiv A \\ \frac{du}{d\lambda} = \epsilon u - 8(n+8)u^2 - 16tu & \equiv B \end{cases}$$

$$\Rightarrow (t^*, u^*) = \left( \frac{\epsilon^2}{4(n+8)^2}, \frac{\epsilon}{8(n+8)} \right)$$

- The flow equation for  $t$  around WFFP is

$$\frac{dt}{d\lambda} = (2 - 8(n+2)u^*)t \Rightarrow y_t^{\text{WF}} = 2 - \frac{n+2}{n+8}\epsilon$$

- For  $h$ , we have

$$\begin{aligned}\frac{dh}{d\lambda} &= (y_h^G + O(\epsilon^2))h = \frac{d+2}{2}h \\ \Rightarrow y_h^{\text{WF}} &= \frac{d+2}{2} = 3 - \frac{\epsilon}{2}\end{aligned}$$

## $\epsilon$ -expansion summary

		Ising ( $n = 1$ )		$XY$ ( $n = 2$ )		Heisenberg ( $n = 3$ )	
		$\epsilon$ -exp.	true	$\epsilon$ -exp.	true	$\epsilon$ -exp.	true
4D	$y_t$	2	2	2	2	2	2
	$y_h$	3	3	3	3	3	3
3D	$y_t$	1.67	1.59	1.60	1.49	1.55	1.41
	$y_h$	2.5	2.48	2.5	2.48	2.5	2.49
2D	$y_t$	1.33	1	1.20	—	1.09	—
	$y_h$	2.0	1.875	2.0	—	2.0	—

## Summary

- By applying the perturbative RG to GFP, we have found a new fixed point near the GFP. (Wilson-Fisher fixed point (WFFP))
- We can apply the same perturbative argument to the  $n$ -component field  $\phi$ , resulting in the  $\epsilon$ -expansion of the universality classes of the  $XY$  model ( $n = 2$ ) and of the Heisenberg model ( $n = 3$ ). In 3D, the estimates of scaling dimensions were surprisingly good, whereas even in 2D, they are not so far from the correct values.

**Exercise 12.1:** Obtain the OPE of  $\varphi_u(\mathbf{x})\varphi_u(\mathbf{y})$  at the GFP, and show

$$c_{uu}^u = 8(n + 8) \quad \text{and} \quad c_{uu}^t = 32(n + 2).$$